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**TRANSMITTAL FORM**

(to be used for all correspondence after initial Filing)

1764

In re Application of

Hans-Ulrich MORITZ et al.

US Serial No. 09/719,874

Group Art Unit:

Filed: December 18, 2000

Examiner:

Total No. of Pages in This Submission

ENCLOSURES (check all that apply)

- |                                                                                                   |                                                                                 |                                                                                               |
|---------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form                                                     | <input type="checkbox"/> Assignment Papers<br>(for an Application)              | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input type="checkbox"/> Fee Attached                                                             | <input type="checkbox"/> Drawing(s)                                             | <input type="checkbox"/> Appeal Communication to<br>Board of Appeal and Interferences         |
| <input checked="" type="checkbox"/> 2 <sup>nd</sup> Preliminary Amendment<br>Under 37 CFR § 1.111 | <input checked="" type="checkbox"/> Return Receipt Postcard                     | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                                              | <input type="checkbox"/> Petition Checklist and                                 | <input type="checkbox"/> Proprietary Information                                              |
| <input type="checkbox"/> Extension of Time Request                                                | <input type="checkbox"/> Accompanying Petition                                  | <input type="checkbox"/> Status Letter                                                        |
| <input type="checkbox"/> Express Abandoned<br>Request                                             | <input type="checkbox"/> To Convert a Provisional<br>Application                | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address |
| <input type="checkbox"/> Information Disclosure<br>Statement/PTO-1449                             | <input type="checkbox"/> Terminal Disclaimer                                    | <input type="checkbox"/> Certified Copy of Priority<br>Document(s)                            |
| <input type="checkbox"/>                                                                          | <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Additional Enclosure(s)<br>(Please identify below):                  |
| <input type="checkbox"/>                                                                          | <input type="checkbox"/>                                                        |                                                                                               |
| <input type="checkbox"/>                                                                          | <input type="checkbox"/>                                                        |                                                                                               |

Remarks:

**SIGNATURE OF APPLICATION, ATTORNEY, OR AGENT**

Firm or Individual name

January 18, 2001  
Date

*Mary E. Golota*  
Mary E. Golota, 36,814



PATENT

(Practitioner's Docket No. IN-5439 )

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hans-Ulrich MORITZ et al.

US Serial No. 09/719,874

Filed: December 18, 2000

Group Art Unit:

Examiner:

For: Taylor reactor for materials conversion in the course of which a change viscosity  $\nu$  of the reaction medium occurs

Assistant Commissioner for Patents  
Washington, DC 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity -- verified statement:  
☐ attached  
☐ already filed.  
☒ other than a small entity.

CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 1/18/01

signature

Marjorie Ellis

(type or print name of person certifying)

(Amendment Transmittal [9-19]--page 1 of 4)

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## EXTENSION OF TERM

Note: **Extension of Time in Patent Cases (Supplement Amendments)** — if a timely and complete response has been filed after a Non-final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run. "Notice of December 10, 1985 (1061 O.G. 34-35).

Note: See 37 CFR 1.645 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

(a) ☐ Applicant petitions for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>
<input type="checkbox"/> one month	\$ 110.00
<input type="checkbox"/> Two months	\$ 380.00
<input type="checkbox"/> three months	\$ 870.00
<input type="checkbox"/> four months	\$1,510.00

Fee \$

if an additional extension of time is required please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for \_ months has already been secured and the fee paid therefor of 0.00 is deducted from the total fee due for the total \_ months of extension now requested.

Extension fee due with this request \$

OR

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal [9-19]--page 2 of 4)

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4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 1)	OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL .	MINUS ..	=	x18=	\$
INDEP. .	MINUS ...	=	X78=	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+260=	\$
			TOTAL ADDIT. FEE	\$

If the entry in Col. 1 is less than entry in Col. 2 write "0" in Col.3.

If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR § 1.116(a) (emphasis added).

(complete (c) or (d) as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$ \_\_\_\_\_.

#### FEE PAYMENT

5. ☐ Attached is a check in the sum of \$

☐ Charge Account No. 23-3425 the sum of \$

A triplicate of this transmittal is attached.

(Amendment Transmittal [9-19]--page 3 of 4)

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**FEE DEFICIENCY**

an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986. (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 23-3425.

**AND/OR**

- ☒ If any additional fee for claims is required, charge Account No. 23-3425.

Reg. No.: **36,814**

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY

Mary E. Golota  
\_\_\_\_\_  
Type or print name of attorney

Tel. No.: **(248) 948-2021**

**BASF Corporation**  
**26701 Telegraph Road**  
\_\_\_\_\_  
P.O. Address  
  
**Southfield, Michigan 48034-2442**  
\_\_\_\_\_

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PATENT  
(Docket No. IN-5439)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CB  
5/29/01

In re Application of

MORITZ, Hans-Ulrich  
KOSSAK, Sabine  
LANGENBUCH, Jessica  
RINK, Heinz-Peter  
JUNG, Werner-Alfons

Serial No.: 09/719,874

Filed: December 18, 2000

For: TAYLOR REACTOR FOR  
MATERIALS CONVERSION IN  
THE COURSE OF WHICH A  
CHANGE IN VISCOSITY V OF THE  
REACTION MEDIUM OCCURS.

Group Art Unit:

Examiner:

I hereby certify that the attached correspondence is being deposited  
with the United States Postal Service as first class mail in an envelope  
addressed to Commissioner of Patents and Trademarks, Washington,  
D.C. 20231, on January 18, 2001.

*M. J. ...*  
Mailer

2<sup>ND</sup> PRELIMINARY AMENDMENT UNDER 37 CFR § 1.111

Hon. Commissioner of Patents and Trademarks

Washington, D.C.

Sir:

This 2<sup>nd</sup> Preliminary Amendment is submitted subsequent to Applicants' December 18, 2000 application for entry into the U.S. National Phase under Chapter II. This application is based on PCT/EP99/04370 filed on June 23, 1999.

Please make the following additional preliminary amendment to the Specification as set forth in the attached papers A and B.

Respectfully submitted,

*Mary E. Golota*

Mary E. Golota  
Reg. No. 36,814  
Senior Patent Attorney

(248) 948-2020

January 18, 2001  
BASF Corporation  
26701 Telegraph Road  
Southfield, MI 48034-24422020